

Northern Illinois University Declaration of Status under the State Universities Retirement System (SURS)

The Illinois Pension Code, 40 ILCS 5/15-139.5, and Regulations of the Board of Trustee Regulations of NIU require Northern Illinois University to verify specific information prior to employment. This form is required to be submitted to HRS prior to the start of the individual's appointment/contract. No offer of employment can be extended nor can an individual begin working without this form being verified by and on file in Human Resource Services. Failure to submit this form prior to the start date of the appointment/contract may result in the appointment being rescinded or modified. The employment of personnel retired under the State Universities Retirement System (SURS) shall be in accordance with university procedures authorized by the President. Compensation for employment of a person receiving a retirement annuity under the SURS shall not exceed limitations set forth in relevant provisions of the Pension Code, including Article 15 and/or other applicable statutes, nor any limitations set forth in 40 ILCS 5/15-139.5 that would create employer obligations pursuant to rules and definitions pertaining to an Affected Annuitant.

Name: \_\_\_\_\_ Employee ID: \_\_\_\_\_ Last four digits of SSN: \_\_\_\_\_

This form certifies my status as an applicant for a position with Northern Illinois University, I am stating the following with respect to my application for Northern Illinois University (check one of the following):

- I am not a retiree (annuitant) of the State Universities Retirement System (SURS) nor have made application for retirement under the SURS.
I am a retiree (annuitant) of the State Universities Retirement System (SURS), a retiree under the Reciprocal Act that includes service under the SURS, or have made application for retirement under the SURS.

If you are not a retiree please proceed to the bottom of the form and sign. If you are a retiree the following information must be provided along with a copy of the Award Letter from SURS that includes both the earnings limitation and your highest annual earnings. If you have not received your Award Letter and submit your preliminary estimate please be advised that the University will rely on the information you submit and any risks associated with your submittal (the preliminary estimate) shall be yours. Upon receipt of the Award Letter please provide a copy to Human Resources.

Date of Retirement: \_\_\_\_\_ Institution(s) Retired from: \_\_\_\_\_

Earnings Limitation: \_\_\_\_\_ Highest Annual Earnings: \_\_\_\_\_

SURS Plan at time of retirement: Traditional Plan Portable Plan Self-Managed Plan

Are you an Affected Annuitant under 40 ILCS 5/15-139.5? Yes No

Please verify the earnings worked for a SURS employer for each SURS year (September 1 – August 31) after August 1, 2013: Please include any information regarding employment opportunities already accepted.

Name of Institution: \_\_\_\_\_ Dates of Employment: \_\_\_\_\_ Compensation Paid: \_\_\_\_\_

Earnings were 100% from federal or state grant funds: No Yes Grant PI: \_\_\_\_\_

Name of Institution: \_\_\_\_\_ Dates of Employment: \_\_\_\_\_ Compensation Paid: \_\_\_\_\_

Earnings were 100% from federal or state grant funds: No Yes Grant PI: \_\_\_\_\_

Additional employment can be listed on the supplemental Declaration of Status under the State Universities Retirement System (SURS).

I understand that Northern Illinois University will be required to certify this appointment with SURS and report any earnings received to SURS as requested. I also understand that it is my responsibility to monitor my earnings limitation, which is not the same as my 40 ILCS 5/15-139.5 limitation. Additionally, if I retire under the SURS or (if already retired) accept an additional appointment with a SURS covered employer, I will notify Northern Illinois University within 5 work days by submitting an updated form. Pursuant to 40 ILCS 5/15-139.5 (Pension Code) falsification of the information contained on this form is considered a Class A misdemeanor and will result in discipline up to and including discharge or revocation of appointment. Additionally, Northern Illinois University may impose a financial penalty, including, but not limited to, repayment of fees and penalties charged to the University.

I give Northern Illinois University permission to investigate my SURS annuitant status, including earnings and employment status at other SURS covered employers. This new appointment could result in a termination or modification of my existing contract. I understand that Northern Illinois University will rely on the information that I have provided. I acknowledge that if as a result of an appointment, subsequent appointment, or employment at another SURS employer I become an "affected annuitant" I will not be eligible for future employment at NIU. By my signature below I affirm that I have read and understand the terms and conditions of this form, and agree to be bound by them.

Signature

Date